

Scientific Articles

Deliverable D5.5

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Document Information

Grant Agreement #: 665906

Project Title: Fostering a Transition towards Responsible Research and Innovation Systems

Project Acronym: FoTRRIS

Project Start Date: 01 October 2015

Related work package: WP 5: Communication and Dissemination

Related task(s): Task 5.3: Stakeholder involvement and communication and dissemination plan

and activities

Lead Organisation: CESIE

Submission date: 04/05/2018

Dissemination Level: Public

History

Date	Submitted by	Reviewed by	Version (Notes)
20/03/2018	Jelena Mazaj		Added information
23/03/2018		Anita Thaler	Added information
26/03/2018		Anne Snick	Added information
		Susana Bautista Blasco	Added information
26/03/2018		Zoltan Bajmocy	Added information
28/03/2018	Nele D'Haese		Final version
27/04/2018		Juan Pavón	Added information
04/05/2018		Jelena Mazaj	Added information
04/05/2018	Nele D'Haese		Final version





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About the FoTRRIS project

FoTRRIS develops and introduces new governance practices to foster Responsible Research and Innovation (RRI) policies and methods in Research and Innovation (R&I) systems.

FoTRRIS stresses that RRI is a collaborative activity from the very beginning. Therefore, FoTRRIS adds the prefix 'co' to the acronym RRI. Important present-day challenges are of a global nature but manifest themselves in ways that are influenced by local conditions. Thus, FoTRRIS focusses on glocal challenges, i.e. local or regional manifestations of global challenges and on local opportunities for solving them.

FoTRRIS performs a transition experiment, i.e. an experiment to support the transformation of present-day research and innovation strategies into co-RRI-strategies. It designs, tests and validates the organisation, operation and funding of co-RRI competence cells. A competence cell is conceived as a small organisational unit, which functions as a local one-stop innovation platform that encourages various knowledge actors from science, policy, industry and civil society to co-design, -perform, and -monitor co-RRI-projects that are attuned to local manifestations of global sustainability challenges.

Since research and innovation systems and practices in EU member states and within different research performing organisations vary, FoTRRIS experiments the implementation of new governance practices in five member states. These five experiments are evaluated, validated and constitute the basis for FoTRRIS policy recommendations towards EU and member states policy makers so as to enforce co-RRI into the national and EU R&I systems. Training is dispensed to various stakeholders, so as to form them to establish other co-RRI competence cells.

For more information, see http://www.fotrris-h2020.eu

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1 Introduction

This deliverable provides information about the FoTRRIS publications produced during the lifespan of the project. It gives information about the authors, the journals or books they were published in and the identification numbers. Also links to the publications are included. Finally, this deliverable presents publication activities planned to take place after the project's ending and that intend to contribute to a broader uptake of the project's results in the field of research and innovation studies, as well as to promote the FoTRRIS co-RRI approach.

All publications mentioned in this deliverable can be found on FoTRRIS website (section: Publications and Documents).





2 Publications

The FoTRRIS consortium has published several articles journals, books and conference proceedings. The main topics of these publications are RRI, ICT for RRI, sustainable development and gender issues. Information about them is presented below.

2.1 FoTRRIS Articles

Author(s)	Marian Deblonde	
Title	Responsible research and innovation: building knowledge arenas for glocal sustainability research.	
Journal/Book	Journal of Responsible Innovation, 2015. Vol. 2, No. 1, 20–38.	
DOI/ISSN	https://doi.org/10.1080/23299460.2014.1001235	
Abstract	In knowledge economies, the prevailing belief is that research and innovation are crucial for societal progress. The call for 'responsible' research and innovation is, however, an indication that the link between science and technology on the one hand and societal progress on the other is less unequivocal than many would like to think. This article wonders why the relationship between knowledge economies and 'responsible' research and innovation is not self-evident. It, consequently, proposes glocal sustainability research (GSR) — and an appropriate process architecture for GSR — as a translation of responsible research and innovation (RRI) and it suggests a type of knowledge arena — and some institutional preconditions for its institutionalisation — as a breeding ground for RRI. Keywords: responsible research and innovation, knowledge economies, glocal sustainability research, knowledge arena.	
Comments	-	





Author(s)	Sandra Karner, Anita Thaler and Magdalena Wicher	
Title	Wie durch gemeinsame Wissensproduktion in der Gender-Forschung soziale Geschlechtergerechtigkeit in Wissenschaft und Forschung befördert werden kann.	
Journal/Book	Dahmen, Jennifer & Thaler, Anita (eds.; 2017). Soziale Geschlechtergerechtigkeit in Wissenschaft und Forschung. Opladen, Berlin, Toronto: Verlag Barbara Budrich, p. 127-141.	
DOI/ISSN	ISBN 978-3-8474-2064-4	
Abstract	How can co-creation of gender-knowledge lead to more social gender justice in academia and research? This book chapter discusses methods which draw on RRI-principles (participation, reflection, anticipation, etc.) to overcome the so called research-to-policy-to-practice-gap. The authors recommend to involve relevant stakeholders in good time, use their language and ease potential conflicts and power struggles by co-creating knowledge together.	
Comments	-	





Author(s)	Anita Thaler	
Title	Care-Politics of RRI.	
Journal/Book	IFZ Electronic Working Papers IFZ-EWP 1-2017.	
DOI/ISSN	ISSN 2077-3102	
	Download: http://www.ifz.at/Media/Dateien/Downloads-	
	IFZ/Publikationen/IFZ-EWP-2017-1_Anita-Thaler	
Abstract	In this working paper I bring two strands of my work together: a project about	
	responsible research and innovation (RRI, Owen et al. 2012), with the aim to	
	translate the somehow vague concept into practice, and theories and analytical	
	frameworks of feminist techno science (Weber 2006). This connection was	
	initially sparked by a paper from Ulrike Felt, where she discussed the growing	
	demand for "reflexive work" (2016, p.11), especially in the realm of RRI, which	
	she then connected to "care and articulation work" (ibid., p. 12). After I went	
	back to expert interviews I conducted 2016 for this RRI project, I analysed the	
	discourses about doing responsible science as "matters of care" (Puig de la	
	Bellacasa 2011). This feminist techno scientific approach illustrates the very	
	fact, that the care-politics of RRI could oppose its success as research and	
	innovation strategy to meet the 'grand challenges' of our society responsibly.	
Comments	-	





Author(s)	Jelena Mazaj, Silvana Di Bono, Arabella Mocciaro Li Destri
Title	The role of local communities in the co-creation of innovations for inclusive and sustainable territorial development: The Madonie case.
Journal/Book	-
DOI	-
Abstract	Rapid social, political, geographic and economic changes in the world, technological revolution of the last century are followed by wide positive and negative changes in people lives and R&I process (open markets, digitalisation, resource scarcity, poverty, etc.). Looking for solutions for a better future, the EU policy agenda for 2030 promote actions which foster co-creation of innovations, targets sustainability and Sustainable Development Goals. As such, EU regions are motivated to enhance and capitalise local competences and resources to achieve a social impact and tackle glocal challenges more effectively. Such reinforcement of local development is possible applying interdisciplinary in R&I processes, through the co-design of innovation by different stakeholders and the empowerment of informal innovation actors. This article presents a methodological framework applied to the co-creation of innovations involving local stakeholders in the Madonie region in Sicily, the results gained and the role of the intermediate body – a Competence Cell responsible for facilitation of such collaboration. This process has been implemented in the frame of the Horizon 2020 FoTRRIS project.
Conference	10th International Scientific Conference "Business and Management 2018" May 3–4, 2018, Vilnius, LITHUANIA
Comments	Abstract is accepted, the full paper is presented at the Conference. News about decision regarding articles publication in the scientific journals and conference proceedings will be announced in the middle of May and sent to the conference participants by email.





Author(s)	Anne Snick	
Title	The EC focus on Responsible R&I as leverage for P2P-production.	
Journal/Book	Journal of Peer Production	
	http://peerproduction.net	
DOI	-	
Abstract	From the perspective of complex flow systems P2P-production can be considered a resilient practice emerging in response to (multi-facetted) crises that indicate the limits (and likely collapse) of the current system. However, since this system is strongly institutionalised whereas the emergent alternatives are not, the latter risk getting recuperated within (key aspects of) the old system. Especially the capitalist economic, legal and governance models often do not offer the manoeuvring space for alternatives to break through. It is therefore crucial for P2P-practices to increase their ascendency by fostering the development of adapted economic and legal frameworks. A potential strong leverage for this could be found in the current emphasis on 'Responsible R&I' by the EC. RRI as a concept was launched to address the big challenges of today. However, just as P2-practices are at risk of recuperation in the capitalist system, RRI-projects too often are approached as just another box to tick for getting access to research funds, yet without getting embedded into a new paradigm. The question is therefore under what conditions RRI could become a leverage for P2P production.	
Conference	-	
Comments	Abstract is accepted, the full paper is under revision.	





Author(s)	Anne Snick	
Title	RRI in the context of Anthropocene: a systems analysis.	
Journal/Book	Journal of Responsible Innovation	
DOI	-	
Abstract	RRI emerged in response to what is called 'big challenges', complex systemic crises at a global scale. So in contrast to classical R&I which is highly specialised, RRI should adopt a broad systemic approach taking the planetary ecosystem (thermodynamic laws) as its frame of reference. Scientists agree that human activity is the root cause of today's crises (Anthropocene), so RRI should help us to understand what drives this disruptive human activity. In line with research on the sustainability of complex dynamic flow systems, RRI has to foster resilience by detecting and upscaling alternative approaches. Many citizens and CSO's today develop innovative socioeconomic practices, pursuing the common good in community based 'living (or urban) labs'. Companies confronted with the effect of the big crises are exploring new business models and partnerships (e.g. ethical business, circular economy etc.) RRI has to clarify what makes these initiatives resilient and under what conditions their impact can be heightened so as to guarantee a timely regime shift. The hypothesis is that this requires specific infrastructure, partnerships and methodologies, supported by innovative R&I policies.	
Conference	-	
Comments	Abstract is accepted, the full paper is under revision.	





Author(s)	Zoltán Bajmócy and György Pataki	
Title	Responsible research and innovation and the challenge of co-creation.	
Journal/Book	Arno Bammé and Günter Getzinger (eds.): Yearbook 2018 of the Institute for Advanced Studies on Science, Technology and Society. Profil-Verlag, Munich and Vienna, 2019	
DOI/ISSN	-	
Abstract	Inclusion is one of the key principles of responsible research and innovation (RRI). However, the way it is formulated by policy documents and translated in the RRI literature leave room for various interpretations and diverse practices. Therefore, there is a need for the clarification of this term and the challenges it implies. Present paper attempted to elaborate on the issue of inclusion with regard to RRI alongside the following issues: (1) the opportunity for participating or not participating; (2) the roles and mandates of the participants and (3) power relations and coming back to reality from the safe space of participation. In line with the endeavour of the FoTRRIS project, the paper calls for 'co-created RRI' and analyses the challenges of such process through the case of Transition Wekerle Hungary.	
Conference	-	
Comments	Accepted for publication ISBN number will be provided by the publisher in autumn 2018	

Author(s)	Noelia García Castillo, Tamara Bueno Doral, Liisa Hänninen, and Tulay Atay Avsar
Title	Responsible action research. Co-defining solutions to forced migration and communication flows
Journal/Book	-
DOI/ISSN	-
Abstract	The present paper expounds the results of an international workshop whose main objective was to reach a system mapping and create a collective refugee R&I project applying the principles of Responsible Research and Innovation



Conference



(RRI) as part of a current H2020 research project. Fostering a transition towards
responsible research and innovation systems (FoTRRIS) is a EU Horizon 2020
project with the aim of offering efficient RRI methods for researchers, citizens,
organizations and policy-makers. FoTRRIS creates and implements transition
arenas that move from mere Research and Innovation to Responsible R&I. This
project supports knowledge actors to collectively set research agendas that
respond effectively and in societally robust ways to local manifestations of
global challenges, such as forced migration. In this workshop, we applied
system thinking using the MISC methodology formulated by Anne Snick, in
addition to diverse and validated creative methods to collect and reformulate
numerous ideas and proposals related to the to the asylum procedure. Twenty
relevant participants representing civil society, business organizations, public
administration agencies (including UNHCR and the government of the City of
Madrid), NGO's and refugees had the opportunity to enlarge their perspectives
and co-define a transdisciplinary project concept. The application of FoTRRIS
methodology gave as result a compilation of potential leverages and existing
barriers according to the priorities of refugees and asylum seekers, as well as
an inventory of research topics and innovation activities mainly related to
technology, communication and media; but also, proposals considering
integration, legislation, citizen initiatives, education, public awareness and
conceptual interventions.
National in and a communication flavor mathing in a boundary conflict and identity
Migration and communication flows: rethinking borders, conflict and identity
through the digital.
ECREA conference organized by the Diaspora, Migration and
the Media Section.
November 2-3, 2017, Bilbao, SPAIN

Author(s)	Rubén Fuentes-Fernández, JorgeJ. Gómez-Sanz	
Title	Development of simulations for ambient assisted living through pattern repositories.	





Journal/Book	
DOI/ISSN	10.15439/2017F272
Abstract	Ambient Assisted Living (AAL) pursues providing an autonomous and satisfactory life to people through technology, independently of their actual conditions. Its developments usually require testing prototypes with real users in Living Labs (LL). This makes projects expensive. Virtual LLs (VLLs) try to address these issues by using simulations for requirements elicitation and the initial testing of solutions. These simulations frequently require considering social aspects, e.g. relationships, culture, or decision making. These are recurrent and quite application-independent aspects for AAL. Our work proposes social properties as patterns that represent these aspects and that can be plugged-in in simulations. The knowledge for these properties is extracted following the Activity Theory (AT) paradigm from Social Sciences. Their specification uses models and transformations (e.g. to generate other models or code) following Model-Driven Engineering (MDE) practices. This facilitates their understanding and use in simulation development. A case study on AAL for ageing illustrates the approach.
Conference	2017 Federated Conference on Computer Science and Information Systems (FedCSIS 2017), pp. 299-305

Author(s)	Tamara Bueno Doral, Liisa Hänninen, and Noelia García Castillo
Title	Responsible research and innovation applied to human rights and higher education.
Journal/Book	-
DOI/ISSN	-
Abstract	The present proposal explains the development of the methodology of Real Social Project during term 2015-2016 in the frame of the research project Fostering a Transition towards Responsible Research and Innovation Systems (FoTRRIS) funded by the Horizon 2020 Program of the European Commission.







The method of Real Social Project, which has been continuously used by our research team at the Faculty of Communication (Complutense University of Madrid) since 2005, consists of research applied to human rights and of real communication projects for NGOs carried out by students that are overseen by lecturers. These initiatives that include RRI into higher education curricula have been recognized and funded by Complutense University of Madrid as projects of Innovation and Improvement of Teaching Quality.

To the usual activities of the Real Social Project, we have to add during term 2015-2016 the diffusion of human rights by means of documentary cinema, as well as the organization of the "IV Convention Africa Exists" by advertising students on December 15th.

By one hand, this latest edition of 'Africa Exists' allows all members of the University community to become aware of diverse topics related to this continent, such as childhood, refugees, information and mass media or entrepreneurship. All that thanks to some NGOs like AMREF-Flying Doctors, África Directo, Fundación Sur, Asociación Museke and TYAD.

By the other hand, the project of diffusion of human rights in Lima with documentary cinema, funded by Banco Santander, is a small-scale example of the application of responsible research and teaching innovation to the capital city of Peru. Peruvian students analyse the use of experimental documentary cinema as a tool of social questioning and transformation that fosters an egalitarian world. In the same way, the self-production of the films is encouraged because it provides more autonomy for social criticism and for the freedom in the defence of human rights.

Conference

1ST HEIRRI Conference, Teaching Responsible Research and Innovation at University (CosmoCaixa Science Museum, Barcelona, Spain, 18/05/2016).





2.2 Conferences proceedings

Author(s)	Zoltán Bajmocy, Sandra Karner, Marian Deblonde, Balint Balasz, Zoltán Elekes, Judit Gebert, and others
Title	A Rocky Road to co-RRI: Barriers for Collective Efforts to Pursue RRI.
Journal/Book	Book of Abstracts
	15 th Annual STS Conference Graz 2016
	Critical Issues in Science, Technology and Society Studies (9-10 May 2016)
	Download: https://conference.aau.at/event/46/material/slides/26.pdf
DOI/ISSN	-
Abstract	Responsible Research and Innovation (RRI) has become a key concept under the EU's Framework Programme for research and Innovation Horizon 2020, and it is gradually finding its way into national research and innovation programmes. However, the conceptualisation of RRI is still under development, it may concern the process as well as its outcomes and its practical implementation may take various forms. Within a recently started European project (FoTRRIS - Fostering a Transition towards Responsible Research and Innovation Systems: http://fotrrish2020.eu), we focus on the idea that RRI builds on collaborative multi-actor processes, where a variety of relevant actors work together in order to better target R&I activities at pressing societal challenges. At its best such co-operations involve transparent, inclusive and reflexive processes of problem definitions, analysis, and co-constructed visions of potential solutions encompassing a broad range of options making societal values such as empowerment, social justice and sustainability explicit, or anticipating potential impacts. In practice the partnership between academic researchers and non-research actors may vary in form and intensity across different research activities or within a single project life cycle. Generally speaking, this contrasts with the traditional model of academic research, particularly in terms of who holds the authority to exercise agency. Within the current frame for R&I such collaborative efforts face a multitude of barriers, which makes it difficult to incorporate RRI practices broadly in research routines. The presentation will discuss the barriers identified through a literature review, which we consider most relevant to be anticipated when setting up RRI experiments within the FoTRRIS project.







Comments	STS Conference Graz 2016
	Session 15: Responsible Research and Innovation: Making the Collective
	Aspect explicit





Author(s)	Marian Deblonde
Title	Fostering a Transition towards Responsible Research and Innovation – Reflecting on the Hidden Part of the Iceberg.
Journal/Book	Book of Abstracts
	15 th Annual STS Conference Graz 2016
	Critical Issues in Science, Technology and Society Studies (9-10 May 2016)
	Download: https://conference.aau.at/event/46/material/slides/26.pdf
DOI/ISSN	-
Abstract	Systems thinking is a transdisciplinary framework for seeing interrelationships rather than things, for seeing patterns of change rather than static snapshots, for being aware of how our mental models shape our belief systems. One systems thinking model that is helpful for understanding global issues is the iceberg model. (http://www.nwei.org/resources/iceberg/ consulted on 30-03-2016).
	The Iceberg model consists of several levels. The mental model level is the 'hidden part of the iceberg'. It consists of the know-how, paradigms, 'attitudes, beliefs, morals, expectations and values that allow structures to continue functioning as they are. These are the beliefs that we often learn subconsciously from our society or family and are likely to be unaware of' (http://www.nwei.org/resources/iceberg/, consulted on 30-03-2016). With respect to the R&I system, the mental model refers to attitudes, beliefs, morals, expectations and values that influence the framing of science, innovation and sustainability. Benessia and Funtowicz point to paradoxical intrinsic loops in this approach. We argue that awareness of these intrinsic paradoxes is a precondition to allow for a transformation of current R&I systems into co-RRI systems. Keywords: RRI, Function, purpose and paradoxes of research and innovation systems, optimisation and substitution, evidence and objectivity,
	sustainability and resilience.
Comments	STS Conference Graz 2016 Session 15: Responsible Research and Innovation: Making the Collective Aspect explicit





Author(s)	Susana Bautista
Title	Text Simplification in RRI.
Journal/Book	15 th Annual STS Conference Graz 2016
	Critical Issues in Science, Technology and Society Studies (9-10 May 2016)
	Book of Abstracts
	Download: https://conference.aau.at/event/46/material/slides/26.pdf
DOI/ISSN	-
Abstract	Responsible Research and Innovation (RRI) entails doing science, information and communication with society and for society carrying out an involvement of society during the process of research and innovation.
	Our interests converge towards the development of interfaces based on language for modern day information technologies applications for modifying or finding information, issuing commands, or present output results in a way easy to understand.
	In this proposal, we focus on natural language processing, in particular in the text simplification process to transform a text into a similar text that is easier to read. To do this, one has to identify what causes difficulties to readers and define different transformations, mainly aimed at syntactic and lexical constructions that can be applied to the original text to generate a simplified version.
	The way of writing or presenting information can exclude many people, especially those who have problems to read and write or to understand. There are different factors as for example limited cultural education, people have cognitive problems or another disability, people with social problems or people whose mother tongue is not the official language of their adoption country which can cause problems. In particular, there are specific groups like deaf people, autistic people, elderly or people with language disorders such as aphasia or dyslexia, who have problems when they access information. In order to make information accessible to all people, we must keep in mind the diversity of the people who will access it.
	Our proposed work on automatic simplification of numerical expressions, is a computational implementation based on a generic model of the process. We present the theoretical bases for text simplification along with a generic model, and show the experimental identification of simplification strategies on numerical expressions to decide what kind of changes need to be implemented





	for our automatic approaches. We have developed and implemented two systems to simplify numerical expressions in English and Spanish that follow the generic model and used the simplification strategies identified in the experimental studies. For both systems an evaluation with experts has been carried out.
Conference	STS Conference Graz 2016 Session 15: Responsible Research and Innovation: Making the Collective Aspect explicit

Author(s)	Eve Dallamaggiore
Title	Possible economic models for RRI practices
Link	https://conference.aau.at/event/95/material/slides/18.pdf
DOI	-
Abstract	Different ways to economically support RRI practices are presented, based upon the FoTRRIS' activities (WP2).
Conference	16 th Annual STS Conference Graz 8-9 th May 2017
Comments	Session "How can Responsible Research and Innovation move from a concept to real change?"





2.3 Book chapters

Author(s)	Susana Bautista, Jelena Mazaj and Marlon Cárdenas
Title	Developing RRI Practices: The Role of the ICT in Stakeholders' Knowledge Exchange and co-creation Processes
Journal/Book	Responsible Research and Innovation Actions in Science Education, Gender and Ethics Cases and Experiences. Springer Briefs in Responsible Research Innovation Governance Fernando Ferri, Ned Dwyer, Saša Raicevich, Patrizia Grifoni, Husne Altiok, Hans Thor Andersen, Yiannis Laouris, Cecilia Silvestri
DOI/ISSN	978-3-319-73207-7_4 ISSN: 2452-0519
Abstract	The ICT sector is one of the fastest growing sectors in the global economy. It provides a wide range of services for different actors according to their type of actions. Meantime, there is a limited understanding how different ICT tools can support co-creation process among different groups of stakeholders in terms of the Responsible Research and Innovation (RRI) processes. As we see the core principle of the RRI is a common work, or in other words—a co-creation process. So who and how can facilitate and ensure the quality of such co-creation process and its outcomes in multidisciplinary society? This article puts focus specifically on the use of ICT tools for communication and knowledge exchange (creation of common projects) among different groups of stakeholders, emphasising the importance of such online co-creation in nowadays transdisciplinary society and explaining the importance of the facilitation of co-creation process. It also highlights the architecture of Co-RRI platform, an example of a web platform which supports stakeholders' cooperation in the frames of the Horizon 2020 FoTRRIS project. It was created to support project Co-RRI activities in five partner countries (Belgium, Spain, Italy, Hungary, and Austria). The need for such cooperation practices in local communities is demonstrated and experiences of local communities are summarised.
Comments	-





Author(s)	Anne Snick
Title	EU Politics for sustainability: systemic lock-ins and opportunities
Journal/Book	European Union and Sustainable Development Challenges and Prospects
DOI/ISSN	Editions Oeconomia
	9791092495
	Imprimerie Chaumeil
	Clermont-Ferrand, France
	ISBN: 979-10-92495-04-1
Link	http://fotrris-h2020.eu/wp-content/uploads/2014/11/Libro-Snick-Def-
	ver2pdf
Introduction	Since 1972 European leaders have issued policies aimed at safeguarding the
	wellbeing of ecosystems and the prosperity of all people. Yet the threats have
	since increased steadily. In terms of system dynamics this indicates a lock-in,
	mechanisms that make the system veer away from its stated goal. A systemic
	analysis of EU policies and their (lack of) impact reveals various factors driving
	the socioeconomic system; a transition in each of them is required for politics to really change course.
	Four drivers determine the dynamics of the socioeconomic system: technical,
	social, eco-monetary and paradigmatic. EU policies mostly address the
	technical driver without taking into account the leverage of the three others.
	Yet the growing focus within EU research policy on Responsible Research and
	Innovation (RRI) may offer strong opportunities for transition.
Comments	-





3 Conclusion

The publications listed in this deliverable intend to raise awareness about co-RRI within the scientific community. Because the consortium couldn't yet publish a significant part of the results obtained during the FoTRRIS project, the partners have agreed to collaborate on more articles. The consortium, for instance, will prepare a special issue on co-RRI, which will be presented for open access to the Budapest Management Review managed by Corvinus University of Budapest (http://cbs.uni-corvinus.hu/index.php?id=59885) (peer reviewed). All partners will contribute. In addition to this, an open call will be launched via, amongst others, the FoTRRIS website. The Hungarian partner, ESSRG, will edit this special issue.